

PORTFOLIO MANAGEMENT
TREASURY ADVISORY
RISK ANALYSIS

CIN NO. : U67120GJ2002PLC040636



WEALTH FIRST
PORTFOLIO MANAGERS LIMITED

REF: WEALTH/NSE/2016-17

18.04.2016

To,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051
Equity Scrip Name: WEALTH

Dear Sir/Madam,

Sub: Submission of Shareholding Pattern under regulation 31 (1) (b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In compliance with the provisions of Regulation 31 (1) (b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, Shareholding Pattern for the Quarter ended 31st March, 2016 (Q4) is attached herewith.

You are requested to take the same on record.

Thank You
Yours faithfully,

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED

ASHISH N. SHAH
MANAGING DIRECTOR
DIN: 00089075



Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: WEALTH FIRST PORTFOLIO MANAGERS LTD			
2. Scrip Code/Name of Scrip/Class of Security		WEALTH	
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a. If under 31(1)(b) then indicate the report for Quarter ending		31-03-2016	
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No*
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No*
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No*
4	Whether the Listed Entity has any shares in locked-in?	Yes*	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No*

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES																		
Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class Equity (a)	Class Others (b)	Total (c)								
(A)	Promoter & Promoter Group	10	4709850	0	0	4709850	73.672	4709850	0	4709850	73.6720	0	73.6720	4709850	100.0000	0	0.0000	4709850
(B)	Public	320	1683150	0	0	1683150	26.328	1683150	0	1683150	26.3280	0	26.3280	150	0.0089	NA	NA	1683150
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	330	6393000	0	0	6393000		6393000	0	6393000	100.0000	0	100.0000	4710000	73.6743			6393000



Category & Name of the shareholders (I)	PAN (II)	No of Share holder s (III)	No of fully paid up equity Shares held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipt s (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Sharehold ing % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlyin g Outstatin g convertib le securities (includin g Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities/ as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateri alized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class Equity x	Class Others y	Total								
1 Indian																		
(a) Individuals/Hindu undivided Family																		
SHAH NAVNITLAL POPATLAL HUF		1	28000	0	0	28000	0.4380	28000	0	28000	0.4380	0	0.4380	28000	100.0000	0	0.0000	28000
SHAH VIPIN PARSHOTTAM HUF		1	28000	0	0	28000	0.4380	28000	0	28000	0.4380	0	0.4380	28000	100.0000	0	0.0000	28000
HENA ASHISH SHAH		1	2000000	0	0	2000000	31.2842	2000000	0	2000000	31.2842	0	31.2842	2000000	100.0000	0	0.0000	2000000
ASHISH NAVNITLAL SHAH		1	1999850	0	0	1999850	31.2819	1999850	0	1999850	31.2819	0	31.2819	1999850	100.0000	0	0.0000	1999850
NAVNITLAL POPATLAL SHAH		1	56000	0	0	56000	0.8760	56000	0	56000	0.8760	0	0.8760	56000	100.0000	0	0.0000	56000
VIPINCHANDRA PARSHOTTAMDAS SHAH		1	28000	0	0	28000	0.4380	28000	0	28000	0.4380	0	0.4380	28000	100.0000	0	0.0000	28000
Total		6	4139850	0	0	4139850	64.7560	4139850	0	4139850	64.7560	0	64.7560	4139850	100.0000	0	0.0000	4139850
(b) Central Government/ State Government(s)																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions/ Banks																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Others (BODIES CORPORATE)																		
DALAL AND SHAH FISCAL		1	30000	0	0	30000	0.4693	30000	0	30000	0.4693	0	0.4693	30000	100.0000	0	0.0000	30000
WEALTH FIRST COMMODITIES		1	240000	0	0	240000	3.7541	240000	0	240000	3.7541	0	3.7541	240000	100.0000	0	0.0000	240000
ORACULO STOCKBROKERS		1	220000	0	0	220000	3.4413	220000	0	220000	3.4413	0	3.4413	220000	100.0000	0	0.0000	220000
DSFS SHARES AND STOCK BROKING		1	80000	0	0	80000	1.2514	80000	0	80000	1.2514	0	1.2514	80000	100.0000	0	0.0000	80000
Total		4	570000	0	0	570000	8.9160	570000	0	570000	8.9160	0	8.9160	570000	100.0000	0	0.0000	570000
Sub-Total (A)(1)		10	4709850	0	0	4709850	73.6720	4709850	0	4709850	73.6720	0	73.6720	4709850	100.0000	0	0.0000	4709850
2 Foreign																		
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Government																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub-Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		10	4709850	0	0	4709850	73.6720	4709850	0	4709850	73.6720	0	73.6720	4709850	100.0000	0	0.0000	4709850
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
Note:																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeover) Regulations, 2011.																		



Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No.s of Share holder s (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculate d as per SCRR,195 7) (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)	No. of Shares Underlyin g Outstadin g convertibl e securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in demat/un claimed form (XIV)	
								No of Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (c)	As a % of total shares held (d)
								Class Equity X	Class Others Y	Total				
1 Institutions														
(a) Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(e) Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(f) Financial Institutions/ Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
SUB TOTAL (III)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
2 Central / State government(s)														
(a) Central Government/ State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
SUB TOTAL (III)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
3 Institutions														
(a) Central Government/ State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
SUB TOTAL (III)(3)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
4 Non-institutions														
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		305	1293000	0	0	1293000	20.2252	1293000	0	1293000	20.2252	0	20.2252	NA
1a. INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		6	226000	0	0	226000	3.6196	226000	0	226000	3.6196	0	3.6196	NA
(b) PRATUL KRISHNAKANT SHROFF		1	81000	0	0	81000	1.2670	81000	0	81000	1.2670	0	1.2670	NA
(c) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(d) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(e) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA
(f) Any Others (BODIES CORPORATE)		3	111150	0	0	111150	1.7386	111150	0	111150	1.7386	0	1.7386	NA
(g) INNOVATE SECURITIES PVT. LTD.	AAAC14767R	1	84150	0	0	84150	1.3163	84150	0	84150	1.3163	150	0.1783	NA
(h) Any Others (CLEARING MEMBER)		7	54000	0	0	54000	0.8447	54000	0	54000	0.8447	0	0.0089	NA
SUB TOTAL (III)(4)		320	1683150	0	0	1683150	26.3280	1683150	0	1683150	26.3280	150	0.0089	NA
Total Public Shareholding (II) = (III)(1)-(III)(2)-(III)(3)		320	1683150	0	0	1683150	26.3280	1683150	0	1683150	26.3280	150	0.0089	NA

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares

Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER																	
Category & Name of the shareholders (i)	PAN (ii)	No. of Share holders (iii)	No. of fully paid up equity Share held (iv)	Partly paid-up equity Share held (v)	No.s of shares underlying Depository Receipts (vi)	Total no. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlyin g Outstatin g convertib le securities (including Warrants) (x)	Total Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in demateri alized form (xiv)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (Not applicable) (c)	As a % of total shares held (Not applicable) (d)	
								Class Equity x	Class Others y								
1 Custodian/DR Holder																	
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
Total Non Promoter- Non Public Shareholding (C)=(C1)+(C2)																	
Note																	
(1) PAN would not be displayed on website of Stock Exchange(s).																	
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.																	
(3) If, the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																	

