

1. Name of Listed Entity: Wealth First Portfolio Managers Limited
2. Scrip Code/Name of Scrip/Class of Security: WEALTH
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I-Summary Statement holding of specified securities

Category(I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up shares	No. of Partly paid-equity shares	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
			(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	perSCRR, 1957 (VIII)	Total no. of shares held	Class seg: X	Class seg: y	Total	Total as a % of (A+B+C)	(X)	(XI)=(VII)+(X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	(XIV)
A	Promoter & Promoter	9	4709850	0	0	4709850	73.67	4709850	0	470985	73.67	0	73.67	1305000	27.71			4709850		

	Group									0								
B	Public	238	1683150	0	0	1683150	26.33	1683150	0	1683150	26.33	0	26.33		0.00			1683150
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total	247	6393000	0	0	6393000	100.00	6393000	0	6393000	100.00	0	100.00	1305000	20.41			6393000

Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (i)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII= IV+V+VI)	Shareholding % (VIII) = $\frac{\text{Asa \% of (A+B+C2)}}{100}$	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding % as assuming full conversion of convertible securities (XI) = $\frac{(VII)+(X) \text{ as a \% of A+B+C2}}{100}$	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting Rights		No. (a)	As a % of total Share held (b)	No. (a)	As a % of total share held (b)	
									Class X	Class Y	Total							

1	Indian Individuals/Hindu undivided Family		5	4139850	0	0	4139850	64.76	4139850	0	4139850	64.76	0	64.76	1305000	31.52		4139850
	ASHISH NAVNITLAL SHAH		1	1999850	0	0	1999850	31.28	1999850	0	1999850	31.28	0	31.28	1305000	65.25		1999850
	HENA ASHISH SHAH		1	2028000	0	0	2028000	31.72	2028000	0	2028000	31.72	0	31.72	0	0.00		2028000
	SHAH VIPIN CHANDRA PARSHOTAM HUF		1	28000	0	0	28000	0.44	28000	0	28000	0.44	0	0.44	0	0.00		28000
	SHAH NAVNITLAL POPATLAL HUF		1	28000	0	0	28000	0.44	28000	0	28000	0.44	0	0.44	0	0.00		28000
	NAVNITLAL POPATLAL SHAH		1	56000	0	0	56000	0.88	56000	0	56000	0.88	0	0.88	0	0.00		56000

b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Any Other (specify)		4	570000	0	0	570000	8.92	570000	0	570000	8.92	0	8.92	0	0.00		570000
	Bodies Corporate		4	570000	0	0	570000	8.92	570000	0	570000	8.92	0	8.92	0	0.00		570000
	DALAL AND SHAH FISCAL SERVICES LIMITED		1	300000	0	0	300000	0.47	300000	0	300000	0.47	0	0.47	0	0.00		300000
	WEALTH FIRST COMMODITIES PRIVATE LIMITED		1	240000	0	0	240000	3.75	240000	0	240000	3.75	0	3.75	0	0.00		240000
	ORACULO STOCKBROKERS PRIVATE LIMITED		1	220000	0	0	220000	3.44	220000	0	220000	3.44	0	3.44	0	0.00		220000
	DSFS SHARES AND STOCK BROKING PRIVATE LIMITED		1	800000	0	0	800000	1.25	800000	0	800000	1.25	0	1.25	0	0.00		800000
	Sub-Total (A)(1)		9	4709850	0	0	4709850	73.68	4709850	0	4709850	73.68	0	73.68	1305000	27.71		4709850

2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	4709850	0	0	4709850	73.68	4709850	0	4709850	73.68	0	73.68	1305000	27.71			4709850

Table III- Statements showing shareholding pattern of the Public shareholder

	Category&Nameo ftheShareholders (I)	PAN (II)	Nos.of share older(II I)	No.off ullypa idupe quitys hares held(I V)	Partly aid- upequt yshare sheld(V)	Nos.ofshar esunderlyi ngDeposito ryReceipts (VI)	Totaln os.sha reshel dVII= IV+V+VI	Sharehol ding%cal culateda s perSCR R,1957 Asa%of(A+B+C2) VIII	NumberofVotingRightsheldinea chclassofsecurities (IX)				No.ofShares Underlying Outstanding convertibles ecurities(inc ludingWarra nts)(X)	Totalshareh olding, as a%assumin gfullconver sionofconv ertiblesecur ities(asaper centageofdil utedsharec apital) (XI)	Numberof Lockedins hares(XII)		NumberofSh arespledged orotherwise encumbered(XIII)		Numberofe quityshares heldindemat erializedfor m(XIV)
									NoofVotingRights			Totalas a%ofTo talVotin grights			No. (a)	Asa %oft otal Shar esh eld(b)	No. (No tap pli ca ble) (a)	Asa %oft otal shar eshe ld(Not applic able) (b)	
									Class X	Class Y	Tot al								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0

b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
f	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
2	Central Government/ State Government(s) / President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		228	1617000	0	0	1617000	25.29	1617000	0	161700	25.29	0	25.29	0	0.00	0	0.00	1617000

										0								
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		220	993000	0	0	993000	15.53	993000	0	993000	15.53	0	15.53	0.00			993000
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		8	624000	0	0	624000	9.76	624000	0	624000	9.76	0	9.76	0.00			624000
	NITINBHAI MADHUKA RBHAI PANDYA		1	81000	0	0	81000	1.27	81000	0	81000	1.27	0	1.27	0.00			81000
	PRATUL KRISHNAKANT SHROFF		1	81000	0	0	81000	1.27	81000	0	81000	1.27		1.27	0.00			81000
	GAURANG PARMANAND SHAH		1	291000	0	0	291000	4.55	291000	0	291000	4.55	0	4.55	0.00			291000
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00			0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00			0
e	Any Other (specify)		10	66150	0	0	66150	1.03	66150	0	66150	1.03	0	1.03	0.00			66150

	Bodies Corporate		4	9150	0	0	9150	0.14	9150	0	9150	0.14	0	0.14		0.00		9150
	Clearing member		1	3000	0	0	3000	0.05	3000	0	3000	0.05	0	0.05		0.00		3000
	Non-Resident Indian (NRI)		5	54000	0	0	54000	0.84	54000	0	54000	0.84	0	0.84		0.00		54000
	Sub-Total (B)(3)		238	1683150	0	0	1683150	26.32	1683150	0	1683150	26.32	0	26.32	0	0.00		1683150
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		238	1683150	0	0	1683150	26.32	1683150	0	1683150	26.32	0	26.32	0	0.00		1683150

Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

	Category&Name ofthe Shareholders (I)	PAN (II)	No.of shareh older (III)	No.of fully paid up equity share sheld (IV)	Partly paid-up equity shares held (V)	Nos.of shares underlying Depository Receipts (VI)	TotalNo. shares held (VII= IV+V+VI)	Sharehol ding% calculate as per SCRR, 1957 Asa%of (A+B+C2) (VIII)	NumberofVotingRightsheldin eachclassofsecurities (IX)				No.of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a% assuming full conversion of convertible securities(asa percentage ofdiluted share capital) (XI)	Numberof Lockedin shares (XII)		No.	Asa %oft otal Shar esh eld	No. (No tap pli ca ble)	Asa %oft otalsha reshe ld(Not applic able)	Numberof Shares pledgedor otherwise encumbered (XIII)	Numberof equity sharesheld in dematerializ edform (XIV) (Not Applicable)
	NoofVotingRights			Totalas a%ofTo talVotin grights	Class X	Class Y	Tot al															
	1		Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
	2		Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
			Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %