ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **- Wealth First Portfolio Managers Limited**
2. Quarter ending **- 31-Mar-2023**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to provision to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	Noof post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	ASHISH NAVNITLAL SHAH	00089075	C & ED		16-Apr-2002				28-Apr-1963	No					NA		4	3	5	2	AC	
Mrs.	HENA ASHISH SHAH	00089161	ED		12-Nov-2010				19-Jan-1965	No					NA		1	0	1	0	SC	
Mr.	DEVANSHU RASHMIKANT MEHTA	07265777	ID		02-Sep-2015	02-Sep-2020		91	16-Sep-1962	No					Yes	14-Sep-2020	1	1	1	1	SC,NRC	
Mrs.	BINAL BHUKHANWALA GANDHI	02740504	ID		28-Aug-2017	28-Aug-2022		66	30-Oct-1970	No					Yes	28-Sep-2022	1	1	1	0	AC,NRC	
Mr.	RAJAN BABUBHAI	03548180	ID		19-Oct-	19-Oct-		89	22-Sep-	No					Yes	24-Sep-	1	1	2	1	AC,SC,NRC	

	MEHTA				2015	2020			1963							2021						
Mr.	SANJIV HARSHAD SHAH	03561723	ID		29-Dec-2020	29-Dec-2020		27	22-Aug-1965	No					NA		1	1	0	0	NA	

Company Remarks	
Whether Regular chairperson appointed	No
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	*Name of Committee members*	*Category*	*Chairperson/Member*	*Date of Appointment*	*Date of Cessation*
1	ASHISH NAVNITLAL SHAH	C & ED	Member	19-Oct-2015	
2	RAJAN BABUBHAI MEHTA	ID	Chairperson	19-Oct-2015	
3	BINAL BHUKHANWALA GANDHI	ID	Member	28-Aug-2017	

Company Remarks	
Whether Regular chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	*Name of Committee members*	*Category*	*Chairperson/Member*	*Date of Appointment*	*Date of Cessation*
1	HENA ASHISH SHAH	ED	Member	19-Oct-2015	
2	DEVANSHU RASHMIKANT MEHTA	ID	Chairperson	19-Oct-2015	
3	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	

Company Remarks	
Whether Regular chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	*Name of Committee members*	*Category*	*Chairperson/Member*	*Date of Appointment*	*Date of Cessation*

Company Remarks	Risk Management Committee is not applicable to the Company.
Whether Regular chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	*Name of Committee members*	*Category*	*Chairperson/Member*	*Date of Appointment*	*Date of Cessation*

1	DEVANSHU RASHMIKANT MEHTA	ID	Member	19-Oct-2015	
2	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	
3	BINAL BHUKHANWALA GANDHI	ID	Chairperson	28-Aug-2017	

Company Remarks	
Whether Regular chairperson appointed	Yes

iii. **Meeting of Board of Directors**

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	**Whether requirement of Quorum met (Yes/No)**	**Total Number of Directors as on date of the meeting**	**Number of Directors present (All directors including Independent Director)**	**No. of Independent Directors attending the meeting**
04-Nov-2022	Yes	6	5	3
27-Jan-2023	Yes	6	6	4
09-Mar-2023	Yes	6	4	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	83

iv. **Meeting of Committees**

Name of the Committee	**Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)**	**Whether requirement of Quorum met (Yes/No)**	**Total Number of Directors in the Committee as on date of the meeting**	**Number of Directors present (All directors including Independent Director)**	**Number of Independent directors attending the meeting**	**Number of Members attending the Meeting (Other than Board of Directors)**
Stakeholders Relationship Committee	04-Nov-2022	Yes	3	3	2	1
Audit Committee	04-Nov-2022	Yes	3	3	2	4
Stakeholders Relationship Committee	27-Jan-2023	Yes	3	2	2	1
Audit Committee	27-Jan-2023	Yes	3	3	2	4

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	83

v. **Related Party Transactions**

Subject	***Compliance status (Yes/No/NA)***	***Remark***
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
   a. Audit Committee - **Yes**
   b. Nomination & remuneration committee - **Yes**
   c. Stakeholders relationship committee - **Yes**
   d. Risk management committee (applicable to the top 1000 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **- Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.**- Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. **- Yes**
   b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : ASHISH NAVNITLAL SHAH
Designation : Managing Director

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	***Compliance status (Yes/No/NA)***	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		https://www.wealth-
Terms and conditions of appointment of independent	Yes		https://www.wealth-
Composition of various committees of board of	Yes		https://www.wealth-
Code of conduct of board of directors and senior	Yes		https://www.wealth-
Details of establishment of vigil mechanism/ Whistle	Yes		https://www.wealth-
Criteria of making payments to non-executive directors	Yes		https://www.wealth-
Policy on dealing with related party transactions	Yes		https://www.wealth-
Policy for determining 'material' subsidiaries	Not Applicable		
Details of familiarization programs imparted to	Yes		https://www.wealth-
Email address for grievance redressal and other relevant details entity who are responsible for assisting	Yes		https://www.wealth-firstonline.com/invContact.aspx
Contact information of the designated officials of the	Yes		https://www.wealth-
Financial results	Yes		https://www.wealth-
Shareholding pattern	Yes		https://www.wealth-
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations madeby the listed entity to analysts or	Yes		https://www.wealth-firstonline.com/stockInfo.aspx
New name and the old name of the listed entity	Yes		https://www.wealth-
Advertisements as per regulation 47 (1)	Yes		https://www.wealth-
Credit rating or revision in credit rating obtained by the	Not Applicable		
Separate audited financial statements of each	Yes		https://www.wealth-
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Materiality Policy as per Regulation 30	Yes		https://www.wealth-
Dividend Distribution policy as per Regulation 43A (as	Not Applicable		
It is certified that these contents on the website of the	Yes		
II Annual Affirmations			

Particulars	***Regulation Number***	***Compliance status (Yes/No***	Company Remark
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	*16(1)(b) & 25(6)*	Yes	
Board composition	*17(1), 17(1A) & 17(1B)*	Yes	
Meeting of Board of directors	*17(2)*	Yes	
Quorum of Board meeting	*17(2A)*	Yes	
Review of Compliance Reports	*17(3)*	Yes	
Plans for orderly succession for appointments	*17(4)*	Yes	
Code of Conduct	*17(5)*	Yes	
Fees/compensation	*17(6)*	Yes	
Minimum Information	*17(7)*	Yes	
Compliance Certificate	*17(8)*	Yes	
Risk Assessment & Management	*17(9)*	Yes	
Performance Evaluation of Independent Directors	*17(10)*	Yes	
Recommendation of Board	*17(11)*	Yes	
Maximum number of directorship	*17A*	Yes	
Composition of Audit Committee	*18(1)*	Yes	
Meeting of Audit Committee	*18(2)*	Yes	
Composition of nomination & remuneration committee	*19(1) & (2)*	Yes	
Quorum of Nomination and Remuneration Committee meeting	*19(2A)*	Yes	
Meeting of nomination & remuneration committee	*19(3A)*	Yes	
Composition of Stakeholder Relationship Committee	*20(1), 20(2) and 20(2A)*	Yes	
Meeting of stakeholder relationship committee	*20(3A)*	Yes	
Composition and role of risk management committee	*21(1),(2),(3),(4)*	Not Applicable	
Meeting of Risk Management Committee	*21(3A)*	Not Applicable	
Vigil Mechanism	*22*	Yes	

Policy for related party Transaction	*23(1),(1A),(5),(6),(7)*	Yes	
Prior or Omnibus approval of Audit Committee for all related party transactions	*23(2), (3)*	Yes	
Approval for material related party transactions	*23(4)*	Yes	
Disclosure of related party transactions on consolidated basis	*23(9)*	Yes	
Composition of Board of Directors of unlisted material Subsidiary	*24(1)*	Not Applicable	
Other Corporate Governance requirements with respect to subsidiary of listed entity	*24(2),(3),(4),(5) & (6)*	Yes	
Annual Secretarial Compliance Report	*24(A)*	Yes	
Alternate Director to Independent Director	*25(1)*	Not Applicable	
Maximum Tenure	*25(2)*	Yes	
Meeting of independent directors	*25(3) & (4)*	Yes	
Familiarization of independent directors	*25(7)*	Yes	
Declaration from Independent Director	*25(8) & (9)*	Yes	
D & O Insurance for Independent Directors	*25(10)*	Yes	
Memberships in Committees	*26(1)*	Yes	
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	*26(3)*	Yes	
Disclosure of Shareholding by Non- Executive Directors	*26(4)*	Yes	
Policy with respect to Obligations of directors and senior management	*26(2) & 26(5)*	Yes	

Other Information	

III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. **- Not Applicable**

Other Information	

Name	**:**	**ASHISH NAVNITLAL SHAH**
Designation	**:**	**Managing Director**

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				**Balance outstanding at the end of six months**			
Promoter or any other entity controlled by them	*Promoter Group or any other entity controlled by them*	*Directors (including relatives) or any other entity controlled by them*	*KMPs or any other entity controlled by them*	*Promoter or any other entity controlled by them*	*Promoter Group or any other entity controlled by them*	*Directors (including relatives) or any other entity controlled by them*	*KMPs or any other entity controlled by them*

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	**Type (guarantee, comfort letter etc.)**	**Aggregate amount of issuance during six months**	**Balance outstanding at the end of six months (taking into account any invocation)**
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

Affirmations	
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company	
Company Remarks in case of non-compliant status	Company has not given any loan or guarantee or security directly or indirectly.

Name: MANISH KANSARA
Designation: Chief Financial Officer
Place: AHMEDABAD
Date: 03-Apr-2023