

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - %companyName%
2. Quarter ending - %quarterEnded%

i. Composition Of Board Of Director

T it le (M r. / M s)	Name of the Director	DIN	Categor y (Chairp erson /Executive /Non- Executive/ Independ ent/ Nominee)	Sub Categ ory	Initial Date of Appointm ent	Date of Appoint ment	Date of cessation	Tenure	Date of Birth	Whe ther spec ial resol ution pass ed?	Da te of pa ssi ng sp eci al re sol uti on	No . of Dir ec tor shi p in list ed en titi es inc lu di ng thi s list ed en tity	No of Indepen dent Director ship in listed entities including this listed entity	No of mem bers hipsi nAu dit/S take hold erCo mmit tee(s)incl udin g this liste denti ty	No of pos t ofC hair per son inA udit/ Stake holder Comm itte e the Idin list ede ntiti esi ncl udi ng his li ste den tity	Mem bersh ip in Com mitte es of the Com pany	Re mar ks
Mr.	ASHISH NAVITLAL SHAH	00089075	C & ED	MD	16-Apr-2002				28- Apr- 1963	NA		4	3	5	3	AC	
Mrs.	HENA ASHISH SHAH	00089161	ED		12-Nov-2010				19- Jan- 1965	NA		1	0	1	0	SC	
Mr.	DEVANSHU RASHMIKANT MEHTA	07265777	ID		02-Sep-2015	02-Sep- 2020		66	16- Sep- 1962	Yes	14 - Se p- 20 20	1	1	1	1	SC,NRC	
Mrs.	BINAL	02740504	ID		28-Aug-2017	27-Sep-		43	30-	NA		1	1	1	0	AC,NRC	

	BHUKHANWALA GANDHI					2017			Oct-1970								
Mr.	RAJAN BABUBHAI MEHTA	03548180	ID		19-Oct-2015	19-Oct-2020		65	22-Sep-1963	Yes	14 - Sep-2020	1	1	2	1	AC,SC,NRC	
Mr.	SANJIV HARSHAD SHAH	03561723	ID		29-Dec-2020	29-Dec-2020		3	22-Aug-1965	NA		1	1	0	0		

Company Remarks	
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	Yes

ii. **Composition of Committees**

a. **Audit Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	ASHISH NAVNITLAL SHAH	C & ED	Member	19-Oct-2015	
2	BINAL BHUKHANWALA GANDHI	ID	Member	28-Aug-2017	
3	RAJAN BABUBHAI MEHTA	ID	Chairperson	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. **Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	HENA ASHISH SHAH	ED	Member	19-Oct-2015	
2	DEVANSHU RASHMIKANT MEHTA	ID	Chairperson	19-Oct-2015	
3	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	DEVANSHU RASHMIKANT MEHTA	ID	Member	19-Oct-2015	
2	BINAL BHUKHANWALA GANDHI	ID	Chairperson	28-Aug-2017	
3	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
01-Oct-2020	13-Feb-2021	Yes	5	3
16-Oct-2020				
11-Nov-2020				
29-Dec-2020				

Company Remarks	
Maximum gap between any two consecutive (in number of days)	45

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Nomination & Remuneration Committee	01-Oct-2020				
Nomination & Remuneration Committee	29-Dec-2020				
Stakeholders Relationship Committee	11-Nov-2020	13-Feb-2021	Yes	2	1
Audit Committee	11-Nov-2020	13-Feb-2021	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	93

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the reports submitted in the previous quarter has been placed before Board of Directors. - **No**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

%affirmComments%

Name : %affirmName%
Designation : %affirmDesignation%

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status (Yes/No/NA)	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		https://www.wealth-firstonline.com/AboutUs.aspx
Terms and conditions of appointment of independent directors	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Composition of various committees of board of directors	Yes		https://www.wealth-firstonline.com/committe.aspx
Code of conduct of board of directors and senior management personnel	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Criteria of making payments to non-executive directors	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Policy on dealing with related party transactions	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Policy for determining 'material' subsidiaries	Not Applicable		
Details of familiarization programs imparted to independent directors	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Email address for grievance redressal and other relevant details entity who are responsible for assisting	Yes		https://www.wealth-firstonline.com/invContact.aspx
Contact information of the designated officials of the listed entity who are responsible for assisting and	Yes		https://www.wealth-firstonline.com/invContact.aspx
Financial results	Yes		https://www.wealth-firstonline.com/AnnReport.aspx
Shareholding pattern	Yes		https://www.wealth-firstonline.com/sHolding.aspx
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or	Yes		https://www.wealth-firstonline.com/stockInfo.aspx
New name and the old name of the listed entity	Yes		https://www.wealth-firstonline.com/CHistory.aspx

Advertisements as per regulation 47 (1)	Yes		https://www.wealth-firstonline.com/stockInfo.aspx
Credit rating or revision in credit rating obtained by the	Not Applicable		
Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant	Yes		https://www.wealth-firstonline.com/Subsidiary.aspx
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Materiality Policy as per Regulation 30	Yes		https://www.wealth-firstonline.com/codeCon.aspx
Dividend Distribution policy as per Regulation 43A (as	Not Applicable		
It is certified that these contents on the website of the	Yes		
II Annual Affirmations			
Particulars	Regulation Number	Compliance (Yes/	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	

Composition of nomination & remuneration committee	19(1) & (2)	Yes	
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
Meeting of nomination & remuneration committee	19(3A)	Yes	
Composition of Stakeholder Relationship Committee	20(1), 20(2) and 20(2A)	Yes	
Meeting of stakeholder relationship committee	20(3A)	Yes	
Composition and role of risk management committee	21(1),(2),(3),(4)	Not Applicable	
Meeting of Risk Management Committee	22	Not Applicable	
Vigil Mechanism	22	Yes	
Policy for related party Transaction	23(1),(1A),(5),(6),(7)	Yes	
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
Approval for material related party transactions	23(4)	Yes	
Disclosure of related party transactions on consolidated basis	23(9)	Yes	
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Not Applicable	
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	
Annual Secretarial Compliance Report	24(A)	Yes	
Alternate Director to Independent Director	25(1)	Not Applicable	
Maximum Tenure	25(2)	Yes	
Meeting of independent directors	25(3) & (4)	Yes	
Familiarization of independent directors	25(7)	Yes	
Declaration from Independent Director	25(8) & (9)	Yes	
D & O Insurance for Independent Directors	25(10)	Not Applicable	
Memberships in Committees	26(1)	Yes	

<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	<i>26(3)</i>	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	<i>26(4)</i>	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	<i>26(2) & 26(5)</i>	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : **ASHISH NAVNITLAL SHAH**
Designation : **Managing Director**