ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **- Wealth First Portfolio Managers Limited**
2. Quarter ending **- 30-Sep-2022**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson /Executive/Non-Executive/ Independent / Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	ASHISH NAVNITLAL SHAH	00089075	C & ED	MD	16-Apr-2002				28-Apr-1963	No					NA		4	3	5	2	AC	
Mrs.	HENA ASHISH SHAH	00089161	ED		12-Nov-2010				19-Jan-1965	No					NA		1	0	1	0	SC	
Mr.	DEVANSHU RASHMIKANT MEHTA	07265777	ID		02-Sep-2015	02-Sep-2020		85	16-Sep-1962	No					Yes	14-Sep-2020	1	1	1	1	SC,NRC	
Mrs.	BINAL BHUKHANWALA GANDHI	02740504	ID		28-Aug-2017	28-Aug-2022		60	30-Oct-1970	No					Yes	28-Sep-2022	1	1	1	0	AC,NRC	

Mr.	RAJAN BABUBHAI MEHTA	03548180	ID		19-Oct-2015	19-Oct-2020		83	22-Sep-1963	No					Yes	24-Sep-2021	1	1	2	1	AC,SC, NRC	
Mr.	SANJIV HARSHAD SHAH	03561723	ID		29-Dec-2020	29-Dec-2020		21	22-Aug-1965	No					NA		1	1	0	0	NA	

Company Remarks	
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	*Name of the Director*	*Category*	*Chairperson/Membership*	*Appointment Date*	*Cessation Date*
1	ASHISH NAVNITLAL SHAH	C & ED	Member	19-Oct-2015	
2	BINAL BHUKHANWALA GANDHI	ID	Member	28-Aug-2017	
3	RAJAN BABUBHAI MEHTA	ID	Chairperson	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	*Name of the Director*	*Category*	*Chairperson/Membership*	*Appointment Date*	*Cessation Date*
1	HENA ASHISH SHAH	ED	Member	19-Oct-2015	
2	DEVANSHU RASHMIKANT MEHTA	ID	Chairperson	19-Oct-2015	
3	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	*Name of the Director*	*Category*	*Chairperson/Membership*	*Appointment Date*	*Cessation Date*

Company Remarks	Risk Management Committee is not applicable to the Company.
Whether Permanent chairperson appointed	No

d. Nomination and Remuneration Committee

Sr. No.	*Name of the Director*	*Category*	*Chairperson/Membership*	*Appointment Date*	*Cessation Date*
1	DEVANSHU RASHMIKANT MEHTA	ID	Member	19-Oct-2015	
2	BINAL BHUKHANWALA GANDHI	ID	Chairperson	28-Aug-2017	
3	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. **Meeting of Board of Directors**

Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	**Whether requirement of Quorum met (Yes/No)**	**Total Number of Directors as on date of the meeting**	**Number of Directors present (All directors including Independent Director)**	**Number of Independent Directors present**
10-May-2022	Yes	6	6	4
09-Aug-2022	Yes	6	4	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	90

iv. **Meeting of Committees**

Name of the Committee	**Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter relevant quarter**	**Whether requirement of Quorum met (Yes/No)**	**Total Number of Directors as on date of the meeting**	**Total Number of Directors attended the meeting (All directors including Independent Director)**	**Number of Independent directors attending the meeting**	**Number of Members attending the Meeting (Other than Board of Directors)**
Stakeholders Relationship Committee	10-May-2022	Yes	3	3	2	1
Audit Committee	10-May-2022	Yes	3	3	2	4
Nomination & Remuneration Committee	10-May-2022	Yes	3	3	3	1
Stakeholders Relationship Committee	09-Aug-2022	Yes	3	2	2	1

Audit Committee	09-Aug-2022	Yes	3	3	2	4

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	90

v. **Related Party Transactions**

Subject	***Compliance status (Yes/No/NA)***	***Remark***
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
    a. Audit Committee - **Yes**
    b. Nomination & remuneration committee - **Yes**
    c. Stakeholders relationship committee - **Yes**
    d. Risk management committee (applicable to the top 100 listed entities) **- Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **- Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.**- Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. **- Yes**
   b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : ASHISH NAVNITLAL SHAH
Designation : Managing Director

ANNEXURE III

Affirmations

Broad Heading	*Regulation Number*	*Compliance Status*	*Company Remark*
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

Name : ASHISH NAVNITLAL SHAH
Designation : Managing Director

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				**Balance outstanding at the end of six months**			
Promoter or any other entity controlled by them	*Promoter Group or any other entity controlled by them*	*Directors (including relatives) or any other entity controlled by them*	*KMPs or any other entity controlled by them*	*Promoter or any other entity controlled by them*	*Promoter Group or any other entity controlled by them*	*Directors (including relatives) or any other entity controlled by them*	*KMPs or any other entity controlled by them*

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	**Type (guarantee, comfort letter etc.)**	**Aggregate amount of issuance during six months**	**Balance outstanding at the end of six months (taking into account any invocation)**
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			

Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status	There has been no such transaction reported in the Company for the Quarter ended 30.09.2022.

Name: MR. MANISH KANSARA
Designation: Chief Financial Officer
Place: AHMEDABAD
Date: 03-Oct-2022