

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Wealth First Portfolio Managers Limited**
2. Quarter ending - **30-Sep-2021**

**i. Composition Of Board Of Director**

Title (Mr./ Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Cate gory	Initial Date of Appoin tment	Date of Appo intm ent	Date of cessati on	Ten ure	Date of Birth	Whe ther spec ial resol ution pass ed?	Date of pass ing spec ial resol ution	No. of Direct orship in listed entitie s includ ing this listed entity	No of Indepe ndent Direct orship in listed entitie s includ ing this listed entity	No of memb erships in Audit/ Stakeh older Commie (s) includ ing this listed entity	No of post of Chair perso n in Audit/ Stake holder Com mittee held in listed entiti es includ ing this listed entity	Me mb ers hip in Co mm itte es of the Comp any	Re m ar ks
Mr.	ASHISH NAVNITLAL SHAH	00089075	■■■■■■■■■■	C & ED	MD	16-Apr-2002				28-Apr-1963	NA		4	3	5	2	AC	
Mrs.	HENA ASHISH SHAH	00089161	■■■■■■■■■■	ED		12-Nov-2010				19-Jan-1965	NA		1	0	1	0	SC	
Mr.	DEVANSHU RASHMIKANT MEHTA	07265777	■■■■■■■■■■	ID		02-Sep-2015	02-Sep-2020		73	16-Sep-1962	Yes	14-Sep-2020	1	1	1	1	SC,NRC	
Mrs.	BINAL BHUKHANWALA GANDHI	02740504	■■■■■■■■■■	ID		28-Aug-2017	27-Sep-2017		48	30-Oct-1970	NA		1	1	1	0	AC,NRC	
Mr.	RAJAN BABUBHAI MEHTA	03548180	■■■■■■■■■■	ID		19-Oct-2015	19-Oct-2020		71	22-Sep-1963	NA		1	1	2	1	AC,SC,NRC	

Mr.	SANJIV HARSHAD SHAH	03561723	██████ ██	ID		29-Dec-2020	29-Dec-2020		9	22-Aug-1965	NA		1	1	0	0	NA	
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Company Remarks	
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	Yes

**ii. Composition of Committees**

**a. Audit Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	ASHISH NAVNITLAL SHAH	C & ED	Member	19-Oct-2015	
2	BINAL BHUKHANWALA GANDHI	ID	Member	28-Aug-2017	
3	RAJAN BABUBHAI MEHTA	ID	Chairperson	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	HENA ASHISH SHAH	ED	Member	19-Oct-2015	
2	DEVANSHU RASHMIKANT MEHTA	ID	Chairperson	19-Oct-2015	
3	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	DEVANSHU RASHMIKANT MEHTA	ID	Member	19-Oct-2015	
2	BINAL BHUKHANWALA GANDHI	ID	Chairperson	28-Aug-2017	

3	RAJAN BABUBHAI MEHTA	ID	Member	19-Oct-2015	
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Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. **Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
15-Jun-2021	10-Aug-2021	Yes	6	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	55

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Nomination & Remuneration Committee	15-Jun-2021		Yes	3	3
Stakeholders Relationship Committee	15-Jun-2021	10-Aug-2021	Yes	3	2
Audit Committee	15-Jun-2021	10-Aug-2021	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	55

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes	
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of material related party transactions	
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## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **ASHISH NAVNITLAL SHAH**  
**Designation** : **Managing Director**

**ANNEXURE III****Affirmations**

<b><i>Broad Heading</i></b>	<b><i>Regulation Number</i></b>	<b><i>Compliance Status</i></b>	<b><i>Company Remark</i></b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : **ASHISH NAVNITLAL SHAH**  
**Designation** : **Managing Director**

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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**Affirmations**

*All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company*

**Company Remarks in case of non-compliant status**

There has been no such transaction reported in the Company for the Quarter ended 30.09.2021.

**Name:** MANISH KANSARA  
**Designation:** Chief Financial Officer  
**Place:** AHMEDABAD  
**Date:** 19-Oct-2021