

**EXTRACT OF ANNUAL RETURN
FORM MGT 9**

[Pursuant to Section 92 (3) of the Companies Act, 2013 and
Rule 12(1) of the Company (Management & Administration) Rules, 2014]

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2020

I. Registration and other details:

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office	Capitol House, 10 Paras-II, Near Campus Corner, Prahaldnagar, Anand Nagar Road Ahmedabad, Gujarat – 380015.
Whether listed company (Yes/No)	Yes- National Stock Exchange of India(NSE) Scrip Code: WEALTH
Telephone Number	Telephone: 079-40240000 Fax: 079-40240081
Email ID	info@wealthfirst.biz
Website	www.wealth-firstonline.com
Name, address and contact details of Registrar and Transfer Agent, if any	Name: Bigshare Services Private Ltd Address: A/802, Samudra Complex, Near Klassic Gold, Girish Cold Drink, C.G. Road, Ahmedabad, Gujarat - 380009 Telephone: 079-40024135 Email ID: bssahd@bigshareonline.com Website: www.bigshareonline.com

II. Principal Business Activities of the Company:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
01	Sales of Bonds and Equity	66120	99.77

a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(1)	0	0	0	0	0	0	0	0	0
(2) Non- Institutions									
a) Bodies Corp.									
i) Indian	12,150	0	12,150	0.19	9,150	0	9,150	0.14	(0.05)
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individuals/ HUF holding nominal shares capital Upto Rs. 1 Lakh	7,47,000	0	7,47,000	11.68	7,23,000	0	7,23,000	11.31	(0.37)
ii) Individuals/ HUF holding nominal shares capital in excess of Rs. 1 Lakh	9,24,000	0	9,24,000	14.45	9,51,000	0	9,51,000	14.88	0.43
c) Any others (specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(2)	16,83,150	0	16,83,150	26.33	16,83,150	0	16,83,150	26.33	0
Total Public Share-Holding (B)= (B)(1)+(B)(2)	16,83,150	0	16,83,150	26.33	16,83,150	0	16,83,150	26.33	0
C. Shares held by Custodian For ADRs/GDRs	0	0	0	0	0	0	0	0	0
Grand Total (A + B + C)	63,93,000	0	63,93,000	100	63,93,000	0	63,93,000	100	0

(ii) Shareholding of Promoters:

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Ashish Navnitlal Shah	20,55,850	32.16	0	20,55,850	32.16	0	0
2	Hena Ashish Shah	20,28,000	31.72	0	20,28,000	31.72	0	0
3	DSFS Shares and Stock Broking Private Limited	80,000	1.25	0	80,000	1.25	0	0
4	Wealth First Commodities Private Limited	2,40,000	3.75	0	2,40,000	3.75	0	0
5	Dalal and Shah Fiscal Services Ltd	30,000	0.47	0	30,000	0.47	0	0
6	Oraculo Stockbrokers Private Limited	2,20,000	3.44	0	2,20,000	3.44	0	0
7	Navnitlal Popatlal Shah HUF	28,000	0.44	0	28,000	0.44	0	0
8	Vipin Parshottamdas Shah HUF	28,000	0.44	0	28,000	0.44	0	0

(iii) Change in Promoter's Shareholding: N.A**(iv) Shareholding Pattern of top ten Shareholders
(Other than directors, Promoters and Holders of GDRs and ADRs):**

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Gaurang Parmanand Shah							
At the beginning of the year	01.04.2019			2,85,000	4.46	2,85,000	4.46
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g.	21.06.2019	Purchase of securities	6,000			2,91,000	4.55
	23.08.2019	Purchase of securities	3,000			2,94,000	4.60
	27.09.2019	Sell of securities	(3,000)			2,91,000	4.55

allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2020					2,91,000	4.55
Nitinbhai Madhukarbhai Pandya							
At the beginning of the year	01.04.2019			78,000	1.22	78,000	1.22
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	30.09.2019	Purchase of securities	3,000			81,000	1.27
	07.02.2020	Purchase of securities	3,000			84,000	1.31
	31.03.2020	Purchase of securities	3,000			87,000	1.36
At the end of the year	31.03.2020					87,000	1.36
Pratul Krishnakant Shroff							
At the beginning of the year	01.04.2019			81,000	1.27	81,000	1.27
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			81,000	1.27
At the end of the year	31.03.2020					81,000	1.27
Dr. Sandip Shah							
At the beginning of the year	01.04.2019			39,000	0.61	39,000	0.61
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			39,000	0.61
At the end of the year	31.03.2020					39,000	0.61
Jitendra Bharatbhai Shah							
At the beginning of the year	01.04.2019			39,000	0.61	39,000	0.61
Date wise increase/Decrease in Promoters shareholding during the	-	-	-			39,000	0.61

year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2020					39,000	0.61
Udyan Navinbhai Patel							
At the beginning of the year	01.04.2019			33,000	0.52	33,000	0.52
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			33,000	0.52
At the end of the year	31.03.2020					33,000	0.52
Hasmukh Ramji Devani							
At the beginning of the year	01.04.2019			33,000	0.52	33,000	0.52
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			33,000	0.52
At the end of the year	31.03.2020					33,000	0.52
Sumit Indramohan Sethi							
At the beginning of the year	01.04.2019					12,000	0.19
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	23.08.2019	Purchase of securities	18,000			30,000	0.47
At the end of the year	31.03.2020					30,000	0.47
Asit Ramniklal Shah							
At the beginning of the year	01.04.2019			24,000	0.38	24,000	0.38

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	22.11.2019	Purchase of securities	3,000			27,000	0.42
At the end of the year	31.03.2020					27,000	0.42
Shalin Sudhakarbhaj Patel							
At the beginning of the year	01.04.2019			24,000	0.38	24,000	0.38
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	12.04.2019	Purchase of securities	3,000			27,000	0.42
At the end of the year	31.03.2020					27,000	0.42

(v) Shareholding of Directors and Key managerial Personnel:

Particulars	Date	Reason for increase/decr ease	No. of Shares Increas ed/Decr eased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Ashish Navnitlal Shah							
At the beginning of the year	01.04.2019			20,55,850	32.16	20,55,850	32.16
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	20,55,850	32.16
At the end of the year	31.03.2020					20,55,850	32.16
Hena Ashish Shah							
At the beginning of the year	01.04.2019			20,28,000	31.72	20,28,000	31.72
Date wise increase/ Decrease in Promoters shareholding during the	-	-	-	-	-	20,28,000	31.72

year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2020					20,28,000	31.72
Rajan Babubhai Mehta							
At the beginning of the year	01.04.2019			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)		-	-	-	-	-	-
At the end of the year	31.03.2020					0	0
Devanshu Mehta Rashmikant							
At the beginning of the year	01.04.2019			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)		-	-	-	-	-	-
At the end of the year	31.03.2020					0	0
Binal Bhukhanwala Gandhi							
At the beginning of the year	01.04.2019			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)		-	-	-	-	-	-
At the end of the year	31.03.2020					0	0
Manish Dhirajlal Kansara							
At the beginning of the year	01.04.2019	-	-	6,000	0.09	6,000	0.09

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	6,000	0.09
At the end of the year	31.03.2020					6,000	0.09
Aayush Kamleshbhai Shah							
At the beginning of the year	01.04.2019			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2020					0	0

V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Total Indebtedness
Indebtedness at the beginning of the financial year			
i) Principal Amount	1,35,33,300	-	1,35,33,300
ii) Interest due but not paid	1,00,528	-	1,00,528
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	1,36,33,828	-	1,36,33,828
Change in indebtedness during the financial year			
i) Addition	2,48,46,19,951	-	2,48,46,19,951
ii) Reduction	(2,46,91,82,118)	-	(2,46,91,82,118)
Net Change	1,54,37,833	-	1,54,37,833
Indebtedness at the end of the financial year			
i) Principal Amount	2,89,49,487	-	2,89,49,487
ii) Interest due but not paid	1,22,174	-	1,22,174
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	2,90,71,661	-	2,90,71,661

VI. Remuneration of directors and key managerial personnel-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount
		Ashish Shah	Hena Shah	
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	36,00,000	15,00,000	51,00,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit - others, specify	-	-	-
5	Others	-	-	-

	Total (A)	36,00,000	15,00,000	51,00,000
	Ceiling as per the Act	As per the Companies Act, 2013.		

B. Remuneration to other directors:

Particulars of remuneration	Name of Independent Directors		
	Devanshu Mehta	Rajan Mehta	Binal Gandhi
Fee for attending Board Meeting	15,000	20,000	15,000
Fee for attending Committee Meeting	15,000	35,000	15,000
Fee for attending other meetings	-	-	-
Commission	-	-	-
Others, please specify	-	-	-
Total	30,000	55,000	30,000

C. Remuneration to key managerial personnel other than MD/Manager/WTD:

Sl. No.	Particulars of Remuneration	Key Managerial Personnel (Amount in Rs.)		
		Manish Kansara (CFO)	Aayush Shah (CS)	Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	15,19,700	5,23,717	20,43,417
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	15,19,700	5,23,717	20,43,417

VII. Penalties / Punishment/ Compounding of Offences: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	None				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	None				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	None				
Punishment					
Compounding					

Form AOC-1

[Pursuant to first provision to sub-section (3) of section 129 read with rules 5 of Companies (Accounts) Rules, 2014]

Statement containing salient features of the financial statements of subsidiaries/ associate companies/ joint ventures

Part "A": Subsidiaries

(Amt. in

lakhs)

Sr No.	Particulars	
1	Name of the subsidiary	Wealth First Investment Advisers Private Limited
2	Reporting period for the subsidiary concerned, if different from the holding company's reporting period	N.A
3	Reporting currency and Exchange rate as on the last date of the relevant Financial year in the case of foreign subsidiaries	N.A
4	Share Capital	41.10
5	Reserve & surplus	(0.58)
6	Total assets	40.52
7	Total Liabilities	40.52
8	Investments	-
9	Turnover	-
10	Profit before taxation	(1.28)
11	Provision for taxation	-
12	Profit after taxation	(1.28)
13	Proposed Dividend	-
14	% of Shareholding	100

Notes: The following information shall be furnished at the end of the statement:

1. Name of subsidiaries which are yet to commence operations: **N.A**
2. Name of subsidiaries which have been liquidated or sold during the year: **N.A**