



**WEALTH FIRST**

PORTFOLIO MANAGERS LIMITED

Simple process. Expert wealth

**REF: WEALTH/NSE/2018-19**

**DATE: 09/04/2018**

To,  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai-400051

**Equity Scrip Name: WEALTH**

**Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for half year ended on 31<sup>st</sup> March, 2018 issued by Bigshare Services Private Limited, Registrar and Share Transfer Agent (RTA) and Mr. Kunal Sharma, Practicing Company Secretary, Ahmedabad.

We request you to take the same in your record.

Thanking you.

Yours faithfully,

**FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

**ASHISH SHAH  
MANAGING DIRECTOR  
DIN: 00089075**



**Encl: Compliance Certificate**

**Wealth First Portfolio Managers Limited**

Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015

☎ +91 79 40240000 ✉ contact@wealthfirst.biz 🌐 www.wealth-firstonline.com

CIN - L67120GJ2002PLC040636



# Bigshare Services Pvt. Ltd.

A/802, Samudra Complex, Near Classic Gold Hotel, Girish Cold Drinks,  
Off. C.G. Road, Navranpura, Ahmedabad - 380 009, Gujarat. • Tel: 079 4002 4135

Email: [bssahd@bigshareonline.com](mailto:bssahd@bigshareonline.com) • website: [www.bigshareonline.com](http://www.bigshareonline.com)

SEBI  
REGISTERED CATEGORY I  
REGISTRAR & TRANSFER  
AGENT



Ref No. BSS/Wealth First/Regulation 40(9)

Date : 06.04.2018

WEALTH FIRST PORTFOLIO MANAGERS LTD.  
CAPITAL HOUSE '10 , PARAS - II  
PRAHLAD NAGAR , ANAND NAGAR  
AHMEDABAD :380015

Dear Sir/Madam,

Sub : Regulation 40(9)

This is to inform you that :

No Shares have been received or pending for Transfer/Transmission/ Duplicate / Split / Remat / Consolidation during the period from 01/10/2017 to 31/03/2018.

Thanking you,

Yours faithfully,

For Bigshare Services Pvt Ltd.



Authorised Signatory,

CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.  
Corporate Office: 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.  
Tel. : +91 22 6263 8200 • Fax : +91 22 6263 8299 • Email : [info@bigshareonline.com](mailto:info@bigshareonline.com) • Website : [www.bigshareonline.com](http://www.bigshareonline.com)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2018**

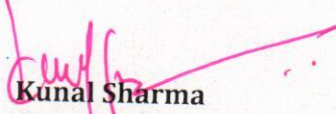
I have examined relevant Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s Wealth First Portfolio Managers Limited ("the Company")** as maintained by **M/s Bigshare Services Private Limited, Ahmedabad ("the RTA")** pertaining to transfer of equity shares of the Company for the half year period from **01<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 ("the Period")** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 by the Company and on the basis of relevant information together with necessary management representations as provided by the Company, I hereby certify that during the period:

- (a) There were no instances of transfer/transmission, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period.

Date: 09<sup>th</sup> April, 2018  
Place: Ahmedabad



For, Kunal Sharma & Associates  
Company Secretaries

  
Kunal Sharma  
Proprietor  
M. No: A34708  
CP No: 12987